

# Hybrid Dielectric-Plasmonic Nanoantenna with Multiresonances for Subwavelength Photon Sources

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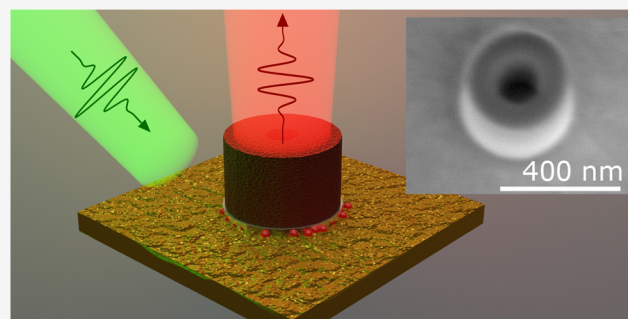
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**ABSTRACT:** The enhancement of the photoluminescence of quantum dots induced by an optical nanoantenna has been studied considerably, but there is still significant interest in optimizing and miniaturizing such structures, especially when accompanied by an experimental demonstration. Most of the realizations use plasmonic platforms, and some also use all-dielectric nanoantennas, but hybrid dielectric-plasmonic (subwavelength) nanostructures have been very little explored. In this paper, we propose and demonstrate single subwavelength hybrid dielectric-plasmonic optical nanoantennas coupled to localized quantum dot emitters that constitute efficient and bright unidirectional photon sources under optical pumping. To achieve this, we devised a silicon nanoring sitting on a gold mirror with a 10 nm gap in-between, where an assembly of colloidal quantum dots is embedded. Such a structure supports both (radiative) antenna mode and (nonradiative) gap mode resonances, which we exploit for the dual purpose of out-coupling the light emitted by the quantum dots into the far-field with out-of-plane directivity, and for enhancing the excitation of the dots by the optical pump. Moreover, almost independent control of the resonance spectral positions can be achieved by simple tuning of geometrical parameters such as the ring inner and outer diameters, allowing us to conveniently adjust these resonances with respect to the quantum dots emission and absorption wavelengths. Using the proposed architecture, we obtain experimentally average fluorescence enhancement factors up to 654× folds mainly due to high radiative efficiencies, and associated with a directional emission of the photoluminescence into a cone of  $\pm 17^\circ$  in the direction normal to the sample plane. We believe the solution presented here to be viable and relevant for the next generation of light-emitting devices.

**KEYWORDS:** fluorescence enhancement, Purcell effect, optical nanoantenna, nanoparticle-on-mirror, nanopatch antenna, quasi-normal modes



## INTRODUCTION

With the constant effort for miniaturizing light-emitting devices, new nanoscale light-emitting diodes (also known as “nano-LEDs”) and on-chip single photon sources with subwavelength dimensions, typically below 1  $\mu\text{m}$  in the visible range, are more and more on-demand, for applications like advanced display, optical communications, or quantum technologies. A promising way to create efficient and bright photon sources is to enhance the photoluminescence (fluorescence) of quantum emitters (such as quantum dots) with optical nanoantennas, which are optically resonant nanostructures designed to control and boost the light–matter interactions at the nanoscale through extreme confinement of the light field.<sup>1</sup> This process is otherwise very inefficient due to impedance mismatch between the optical cross sections of quantum emitters and the wavelength of light.<sup>2</sup>

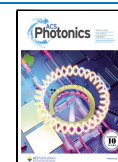
In general, fluorescence enhancement can be achieved via three different mechanisms,<sup>1</sup> such as local enhancement of the pump field intensity to enhance the excitation of the

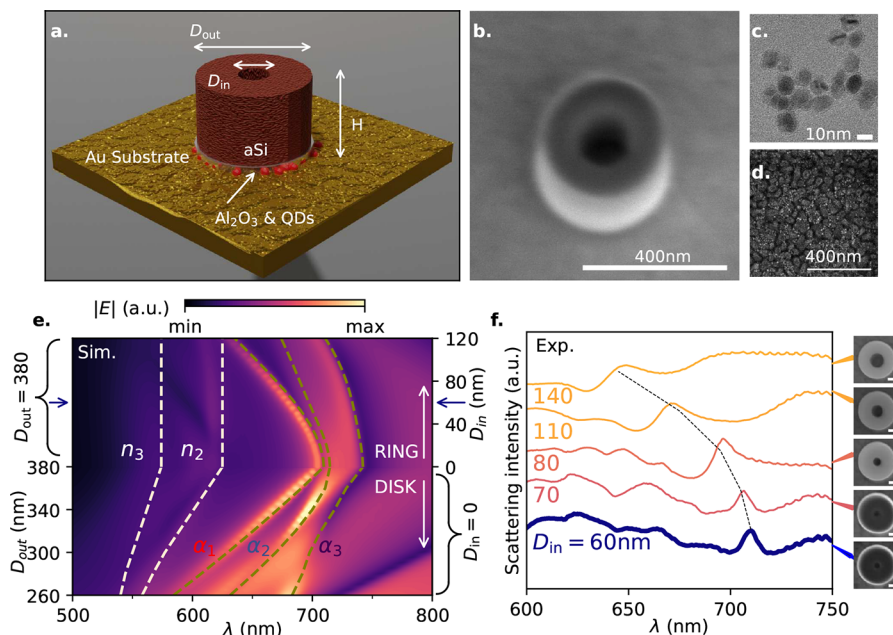
fluorescent emitters by the incident pump, modifying the electromagnetic environment via the local density of optical states (also known as “Purcell effect”) to make the light emission process faster and/or more efficient, and shaping the emitted fluorescence toward the collection system to increase the collected signal. The optical nanoantennas used for fluorescence enhancement usually aim to leverage on combining part of—if not all of—these mechanisms.

To date, the platforms that provide the highest fluorescence enhancements are plasmonic nanoparticle-on-mirror (also known as nanopatch optical antennas),<sup>3</sup> in which the quantum emitters are embedded in the narrow gap formed between a

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**Figure 1.** Design and fabrication of hybrid dielectric-plasmonic nanoantennas. (a) Artist's impression of the aSi nanoring of height  $H$ , outer diameter  $D_{out}$ , and inner diameter  $D_{in}$ , placed on a Au substrate, with a  $Al_2O_3$  spacer layer containing the embedded QDs (represented by the red dots). (b) Tilted SEM image of a typical fabricated nanoantenna. The scale bar represents 400 nm. (c) TEM image of the CdSe/ZnS QDs. The scale bar represents 10 nm. (d) SEM image of the Au substrate coated by a thin layer of  $Al_2O_3$  itself covered with spin-coated QDs. The scale bar represents 400 nm. (e) Simulated near field spectra in the nanoantenna gap, for varying  $D_{out}$  with fixed  $D_{in} = 0$  nm (disk case, lower half) or varying  $D_{in}$  with fixed  $D_{out} = 380$  nm (ring case, upper half). In all cases  $H = 230$  nm. Olive green dashed lines show calculated resonance wavelengths of three antenna modes labeled  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$ . White dashed lines show calculated resonance wavelengths of second and third order gap plasmon modes labeled  $n_2$ ,  $n_3$ . Blue arrows on the sides show the case  $D_{in} = 60$  nm (chosen to be discussed in the rest of this work and called "Antenna"). (f) Experimental scattering intensity spectra measured for different nanoantennas having approximately the same  $D_{out} = 380$  nm and  $H = 230$  nm, but increasing  $D_{in}$  (from bottom to top). The insets show the corresponding SEM images of the fabricated nanoantennas. The scale bars represent 100 nm. The scattering of the "Antenna" (case  $D_{in} = 60$  nm) is highlighted by a thicker line. Dashed black line is a guide-to-the-eye showing the evolution of one of the resonances with changing inner hole diameter.

subwavelength plasmonic nanoparticle and a metallic mirror. This architecture was first pinpointed theoretically for the large Purcell factor it can provide,<sup>4</sup> in order to dramatically accelerate the spontaneous emission process of the quantum emitters. It was later realized experimentally,<sup>5</sup> with many subsequent realizations.<sup>6–10</sup> However, these plasmonic nanopatch antennas work best for a sub-10 nm gap, which is suitable for the purpose of enhancing the fluorescent signal from small molecules, but not for, e.g., modern colloidal quantum dots which are much more relevant for the creation of photon sources due to their stability, but are also much bigger with typical diameters of  $\sim 10$  nm, and thus cannot be integrated in such small gaps.<sup>2</sup> Moreover, they suffer from absorption losses of their metal parts, which ideally must be reduced in order to improve the radiative efficiency of these nanoantennas (also called quantum efficiency or quantum yield), defined as the probability that the excitation of the quantum emitters results in a photon emitted in the far-field, which is typically estimated between 20–50%.<sup>1</sup>

As an alternative solution, all-dielectric nanoantennas, made of low-loss and high-refractive index materials, ensure higher radiative efficiencies and more flexibility for controlling the emission directivity and enhancing the emission of magnetic emitters.<sup>11</sup> Nevertheless, despite providing in theory relatively high enhancement factors in comparison with plasmonic antennas for larger gaps,<sup>12</sup> these realizations are usually far from the record-breaking performances of plasmonic nanopatch antennas.<sup>13,14</sup> This field has also mainly been driven by single molecule microscopy rather than photonic integration.

Hybrid dielectric-plasmonic structures aim to take the best of both worlds, that is, the field enhancement and confinement of plasmonic structures and the low losses and greater flexibility of dielectric structures to tune the resonances and shape the directivity.<sup>15,16</sup> Most of them typically involve micron-scaled hybrid bullseye antennas<sup>17–20</sup> to demonstrate high directivity, but such systems cannot be shrunk down to subwavelength sizes by their nature. More recently, a particularly interesting design was put forward in the context of nanoparticle-on-mirror antennas with subwavelength size, consisting of a dielectric nanodisk sitting on top of a metallic mirror, with, in theory, high Purcell factor, quantum efficiency, and directional emission.<sup>21</sup> Two subsequent experimental works demonstrated the relevance and potential of such a hybrid platform by enhancing the photoluminescence of quantum dots located in the gap formed between a silicon nanosphere and a gold mirror,<sup>22,23</sup> essentially leveraging on the Purcell effect to boost the emission process, but without considering directivity control. The absence of more experimental demonstrations with subwavelength nanoantennas could be because the integration of emitters with the nanoantenna is on its own a very complex problem, usually requiring either precise manipulation<sup>24–29</sup> or precise measurement of existing emitter locations.<sup>30</sup>

In this work, we design a silicon hollow disk (i.e., a nanoring) nanoantenna sitting on top of a gold mirror, forming a nanogap of about  $\sim 10$  nm, within which colloidal quantum dots are embedded. We thus obtained self-localized emitters, present underneath the nanoantenna only, alleviating the

problem of quantum emitters localization. Furthermore, silicon nanorings present strong scattering properties, with geometrically tunable resonances (also called “Mie resonances”) that provide control over the scattering strength and directivity, with potential ability to tailor the emission of electric or magnetic dipole emitters,<sup>31–34</sup> but it is generally difficult to couple efficiently an assembly of emitters. Our hybrid nanopatch antenna allows us to achieve an efficient coupling due to the presence of two classes of resonant modes: (i) strongly radiative modes that also provide out-of-plane directivity, called “antenna modes” hereafter, similar to the standalone nanoring case but with much better coupling to the QDs due to the presence of the mirror; (ii) and weakly radiative modes confined in the gap between the particle and the mirror,<sup>21,35</sup> called “gap modes” hereafter (these two classes of modes are also discussed in related configurations; see, e.g., ref 36). These two types of modes can be spectrally tuned, almost independently from each other, by simply varying the nanoring geometrical parameters, such as its inner and outer diameters. By carefully designing these parameters to match the antenna mode and gap mode resonances with the emission wavelength of our quantum dots and the excitation wavelength of the pump laser, respectively, we were able to demonstrate experimentally a directional fluorescence enhancement over 650 into a cone of  $\pm 17^\circ$  in the direction normal to the surface, compared to the rather isotropic emission of quantum dots on a gold film. We clearly identify the main mechanisms responsible for the total enhancement, by the use of analytical and quasi-normal mode frameworks in conjunction with full-wave simulations, to be a combination of radiative, excitation, and directivity enhancements. Overall, the hybrid dielectric-plasmonic nanoantenna reported here presents multiresonances with strong local field confinement, scattering, and directivity properties, that can be used with the association of an active medium (e.g., quantum dots) to create efficient, bright, and directional photon sources.

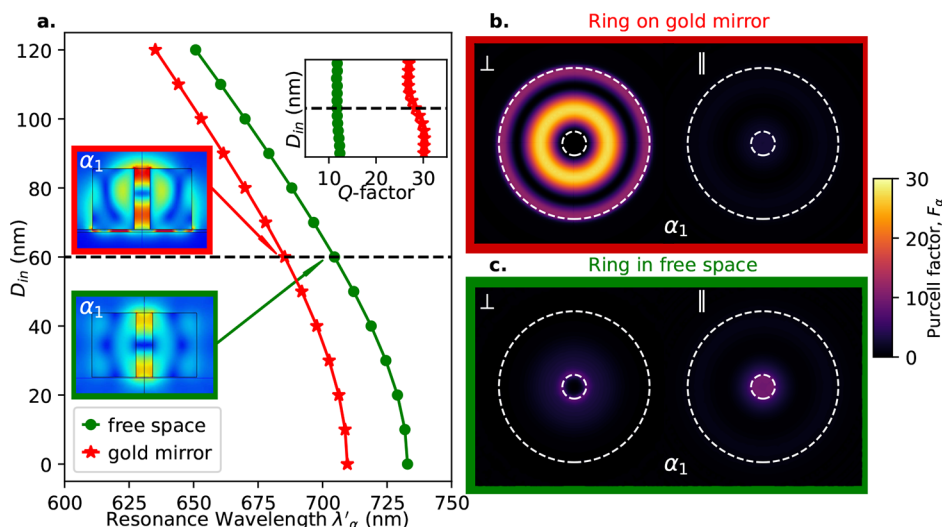
## DESIGN AND FABRICATION

The nanoantenna design, as shown schematically in Figure 1a, consists of an amorphous silicon (aSi) nanoring sitting on a gold (Au) mirror substrate, with an alumina ( $\text{Al}_2\text{O}_3$ ) spacer in between where the quantum emitters are embedded. A scanning electron microscope (SEM) image of a fabricated sample is shown in Figure 1b. We use CdSe/ZnS quantum dots (QDs) as quantum emitters, which have a strong electric dipole transition, with a photon emission centered around 650 nm.<sup>37</sup> A transmission electron microscope image of the QDs is shown in Figure 1c, with QD sizes of the order of  $\sim 10$  nm. Their photoluminescence (PL) and absorption spectra measured in solution or on top of a glass substrate are given in Supporting Information Section 1, Figure S1.

A basic model of their energy levels can be found in Supporting Information Section 1, Figure S2, which constitutes the fluorescence model used to interpret our experimental results, and the basis on which most fluorescence experiments are analyzed. According to this model, in a reference configuration (chosen to be, e.g., QDs deposited on a substrate), we associate a rate to each transition between different energy levels, namely an excitation rate  $\gamma_{\text{exc}}^0$  induced by the excitation from a laser pump at the excitation wavelength  $\lambda_{\text{exc}}$  (and directly proportional to its intensity), an intrinsic nonradiative decay rate  $\gamma_{\text{nr}}^0$  due to nonradiative

decay channels present in the emitter (such as vibrations or other nonradiative relaxation channels), and a radiative decay rate  $\gamma_{\text{r}}^0$  associated with the spontaneous emission of a photon of emission wavelength  $\lambda_{\text{em}}$  (Figure S2a). One can then characterize the emitter by its intrinsic quantum yield, defined as  $\text{QY}^0 \equiv \gamma_{\text{r}}^0 / (\gamma_{\text{r}}^0 + \gamma_{\text{nr}}^0)$ , which quantifies the radiative efficiency. In the nanoantenna configuration, we assume that its presence does not modify the intrinsic nonradiative decay rate  $\gamma_{\text{nr}}^0$ , but does modify the other rates, which become  $\gamma_{\text{exc}}^0 \rightarrow \gamma_{\text{exc}}(\mathbf{r})$  for the excitation rate and  $\gamma_{\text{r}}^0 \rightarrow \gamma_{\text{r}}(\mathbf{r})$  for the radiative decay rate (Figure S2b). In addition, the nanoantenna introduces a new decay channel (encircled by the dashed black line in Figure S2b), which corresponds to the possibility that the emitted photon get absorbed by the nanoantenna and lost in terms of heat, for which we associate an absorption decay rate  $\gamma_{\text{abs}}(\mathbf{r})$ . For a low-loss dielectric nanoantenna as the one used in this work, this absorption channel is expected to be small. The quantum yield of the emitter is thus modified as  $\text{QY}(\mathbf{r}) = \gamma_{\text{r}}(\mathbf{r}) / [\gamma_{\text{r}}(\mathbf{r}) + \gamma_{\text{nr}}^0 + \gamma_{\text{abs}}(\mathbf{r})]$ . Note that the  $\mathbf{r}$  dependence means that these rates now depend on the spatial location of the emitter—while in the reference configuration they are usually not position-dependent. The reference configuration considered in this work consists of a monolayer of QDs deposited on the gold mirror; we call it the “Reference” hereafter. An SEM image of the relatively homogeneous distribution of QDs after spin-coating on the gold mirror can be seen in Figure 1d. Note that in order to prevent quenching by the gold mirror and to protect from the subsequent CVD deposition of the aSi nanoantenna (see Methods section), the QDs were sandwiched between two ultrathin layers of  $\text{Al}_2\text{O}_3$  (we denote this configuration by Au/ $\text{Al}_2\text{O}_3$ /QDs/ $\text{Al}_2\text{O}_3$ ). The overall thickness of the three layers is  $\sim 10$  nm. Importantly, the quantum yield of the QDs in the Reference situation was found to be  $\text{QY}^0 = 3.8 \times 10^{-3}$ , drastically decreased compared to the value for QDs in solution ( $\text{QY}^0 = 0.29$ ). This observation is similar to what has been reported in ref 38; however, in our case the decrease is not due to quenching by the gold mirror, but due instead to some degradation that happens during the deposition of the second protective layer of  $\text{Al}_2\text{O}_3$  (more details are given in the Methods section). Finally, all the QDs which were not precisely located between the Au mirror and aSi nanoantenna were etched out; hence, we managed to create spatially self-aligned and localized QDs in a nanogap of  $g \approx 10$  nm, without requiring any complex emitter manipulation or characterization.<sup>38</sup>

We first simulated the near-field optical response of our nanoantenna in Figure 1e, which shows the norm of electric field  $|\mathbf{E}|$  averaged in the plane located in the middle of the nanogap (see Methods section for the simulation details), for a nanodisk with varying outer diameter  $D_{\text{out}}$  (lower half), and for a nanoring with varying inner diameter (or hole diameter)  $D_{\text{in}}$  and fixed  $D_{\text{out}} = 380$  nm (upper half). In both cases the height of the nanoantenna is fixed at  $H = 230$  nm. One can see that while all modes are red-shifted as  $D_{\text{out}}$  increases (lower half), the modes labeled by  $\alpha_i$  are blue-shifted as  $D_{\text{in}}$  increases while the modes labeled by  $n_i$  do not vary (upper half). As discussed in more detail later, these modes pertained to two distinct classes: the modes  $\alpha_i$  correspond to “antenna modes”, which have strong radiative properties, and the modes  $n_i$  correspond to “gap modes”, mostly confined in the gap, and much less radiative. As we will see in the following, because of the



**Figure 2.** Quasi-normal-mode analysis of the nanopatch antenna mode  $\alpha_1$  and comparison with an identical nanoring in free space. (a) Inner (hole) diameter  $D_{in}$  vs resonance wavelength  $\lambda'_\alpha$  of the QNM  $\alpha_1$  for the nanoring on top of a gold mirror (red dots, same as in Figure 1e) and an identical nanoring standing in free space (green dots). The top right inset shows the inner diameter  $D_{in}$  vs  $Q$ -factor of the QNM  $\alpha_1$  in the two cases (same color code). The case of  $D_{in} = 60$  nm (Antenna case) are also highlighted (horizontal black dashed lines). The two insets in the left side represent the norm of the QNM field  $\alpha_1 |\mathbf{E}_\alpha|$  (in the vertical plane passing through the middle of the nanoantenna) for the nanoring with  $D_{in} = 60$  nm on gold mirror (upper inset) and in free space (lower inset). Redder (bluer) colors represent higher (lower) values. (b) Spatial distribution of the modal Purcell factor associated with the QNM  $\alpha_1$ , computed according to eq 1 in the horizontal plane located 5 nm below the nanoring, in the case of the nanoring on gold mirror with  $D_{in} = 60$  nm (Antenna case). We discriminate between the out-of-plane oriented dipoles (left panel, symbol  $\perp$ ) and the in-plane oriented dipoles (right panel, symbol  $\parallel$ ), where in this case the Purcell factor is averaged over two orthogonal orientations). (c) Same as (b) for nanoring in free space. The dotted white lines in (b) and (c) represent the projection of the outer and inner diameters of the nanoring in the horizontal plane.

presence of these two classes of modes—the antenna modes which are strongly sensitive to  $D_{in}$ , and the gap modes which are mostly sensitive to  $D_{out}$ —we are able to almost independently control the spectral positions of both types of resonances, allowing us to adapt the design to match the emission and excitation wavelengths of the QDs and the pump laser, respectively. Another route toward independent control of these two types of resonances is to vary the height  $H$  of the nanoantenna, as shown in Supporting Information Section S2, Figure S3 (for a closely related configuration, also see ref 36). In this work, we choose to set the height at  $H = 230$  nm and the outer diameter  $D_{out} = 380$  nm to have one main strongly radiative antenna mode around the QD emission wavelength of 650 nm (mode  $\alpha_1$  in Figure 1e) and a gap mode resonance that can be exploited for excitation enhancement around 570 nm (mode  $n_3$  in Figure 1e), while  $D_{in}$  is used as a “node” to spectrally tune the antenna resonances with respect to the gap mode resonances (as can be seen in the upper half of Figure 1e).

We next designed and fabricated a series of aSi nanorings that have a fixed outer diameter of  $D_{out} \approx 380$  nm and a fixed height of  $H \approx 230$  nm, but varying inner diameters  $D_{in}$  (ring hole) between  $D_{in} \approx 60$  nm to  $D_{in} \approx 140$  nm (SEM images of the nanorings are shown as insets in Figure 1f). We characterized their far-field optical response by dark-field scattering measurements (see Methods section). Experimental scattering intensity spectra are shown in Figure 1f and reveal that the scattering features are blue-shifted with increasing  $D_{in}$ , in agreement with the antenna modes behavior (while the gap modes can not really be seen in the far-field as they do not radiate much).

## ■ QUASI-NORMAL-MODE ANALYSIS OF THE ANTENNA MODES

In order to better understand the features appearing in the near-field spectra of Figure 1e, we computed the resonant modes  $\mathbf{E}_\alpha$  of the system as well as their complex eigenwavelengths  $\lambda_\alpha = \lambda'_\alpha + i\lambda''_\alpha$ , with  $\alpha$  labeling the mode, using quasi-normal-mode (QNM) calculations<sup>39</sup> (see Methods section for the calculation details). We identified that the main features appearing in the near-field spectra are associated with the excitation of three particular antenna modes, labeled  $\alpha_1$ ,  $\alpha_2$ , and  $\alpha_3$  (the real part of their eigenwavelengths  $\lambda'_\alpha$ , called “resonance wavelength”, is shown in Figure 1e by olive green dashed lines).

For the nanoring, the mode  $\alpha_1$  presents a higher  $Q$ -factor of about  $Q \sim 30$  compared to the modes  $\alpha_2$  and  $\alpha_3$  with  $Q \sim 10$  and  $Q \sim 20$ , respectively (calculated as  $Q = \lambda'_\alpha / (2\lambda''_\alpha)$ ). Moreover, its spectral position is more shifted as  $D_{in}$  varies than for the two other QNMs, as can be seen in Figure 1e, allowing for a higher degree of tunability. For these reasons, we choose the antenna mode resonance  $\alpha_1$  for the purpose of enhancing the emission of the QDs. The field profile of this mode  $|\mathbf{E}_\alpha|$ , shown as insets in Figure 2a, reveals that most of the electric field is located inside the hole of the nanoring, which explains why this mode is highly sensitive to the parameter  $D_{in}$ , which is not the case for the other modes  $\alpha_2$  and  $\alpha_3$  (not shown here).

It is interesting to make a comparison with the case of a standalone nanoring in free space; Figure 2a shows the resonance wavelength vs  $D_{in}$  in the case of the nanoring in free space (green dots). One can see that the presence of the metallic mirror (red dots, same as  $\alpha_1$  in Figure 1e), in addition to contributing to slightly blue-shifting its spectral position

compared to the standalone case (green dots), contributes to more than doubling the  $Q$ -factor of the mode  $\alpha_1$  (see inset in Figure 2a with same color code). We also calculated the Purcell factor associated with this mode (called “modal Purcell factor”), for the nanoring in free space and in the presence of the metallic mirror, according to the formula:

$$F_\alpha(\mathbf{r}) = \frac{6\pi c^3}{\omega_\alpha'^3} Q_\alpha \operatorname{Re} \left( \frac{1}{V_\alpha(\mathbf{r})} \right) \quad (1)$$

with  $c$  being the speed of light in a vacuum. The modal Purcell factor quantifies the coupling of an emitter located at position  $\mathbf{r}$  with QNM  $\alpha$ , and corresponds to the decay rate enhancement due to this mode compared to a homogeneous background (free space is considered here), for a perfect matching of the emission frequency of the emitter with the (real part of the) QNM eigenfrequency. The mode volume  $V_\alpha(\mathbf{r})$  associated with this QNM, and appearing in eq 1, is given by the relation:<sup>39,40</sup>

$$V_\alpha(\mathbf{r}) = \frac{1}{2\epsilon_0(\mathbf{E}_\alpha(\mathbf{r}) \cdot \mathbf{u})^2} \quad (2)$$

with  $\epsilon_0$  being the vacuum permittivity. One can see from eq 2 that the mode volume quantifies the interaction between an electric dipole emitter with dipole orientation along unit vector  $\mathbf{u}$  and the QNM field  $\mathbf{E}_\alpha(\mathbf{r})$  at the position of the emitter  $\mathbf{r} = (x, y, z)$ . The smaller the mode volume, the stronger the interaction.<sup>39,40</sup>

Figures 2b and c show the spatial distribution of the modal Purcell factor associated with the antenna mode  $\alpha_1$ , across the horizontal plane located 5 nm underneath the ring, in the case of a nanoring with  $D_{\text{in}} = 60$  nm (which is the case discussed hereafter in the main text), for dipoles oriented out-of-plane (i.e., perpendicular to the plane, denoted by the symbol  $\perp$ ) and dipoles oriented in-plane (i.e., parallel to the plane, denoted by the symbol  $\parallel$ ). One can see that in the presence of the mirror (Figure 2b), it is the *out-of-plane* dipoles that mostly couple to this mode, within a much larger area (that forms a circular “band” surrounding the hole of the nanoring as seen in the left panel of Figure 2b), with a maximum modal Purcell factor reaching a value of  $F_\alpha = 27.4$ . For the standalone nanoring case (Figure 2c), it is mostly the *in-plane* dipoles that couple to this mode, and only within a small area (located right underneath the hole of the nanoring as seen in the right panel of Figure 2c). In practice, since it is very challenging to control the position and orientation of the emitters precisely, the PL signal coming from the assembly of emitters is averaged out over emitters spatially distributed over the nanoantenna area with random dipole orientations. Therefore, QDs coupled to a nanoring on top of a metallic mirror is expected to give a significantly higher PL enhancement compared to the case of a standalone nanoantenna, due to higher coupling strengths and the more spatially “extended” coupling.

## THEORETICAL ANALYSIS OF THE GAP MODES

It is not very convenient to identify the gap modes using QNM computations because in the spectral region around and below 600 nm, the number of QNMs is very large and they are not spectrally well separated, which makes their analysis quite tedious. Instead, we use a more intuitive analytical approach to find the gap mode resonances, based on geometrical considerations only. As mentioned in Yang et al.,<sup>21</sup> the gap resonances of our system can be understood as “the surface

plasmon of a planar multilayer metal-dielectric system restricted to specific quantized wavevectors”. The cylindrical symmetry of our system implies that resonances can be labeled with indices  $(n, m)$ , enumerating field variations in the radial and azimuthal directions, respectively. The wavevectors  $k_{mn}$  are “quantized” due to the geometry of the nanoantenna, which reflects the surface plasmon at its boundary, similarly to the modes of a Fabry–Pérot type resonator.<sup>35,41–43</sup>

The resonance wavelengths, denoted  $\lambda_{mn}$ , thus have a geometric origin and read (in the case of a disk):<sup>35</sup>

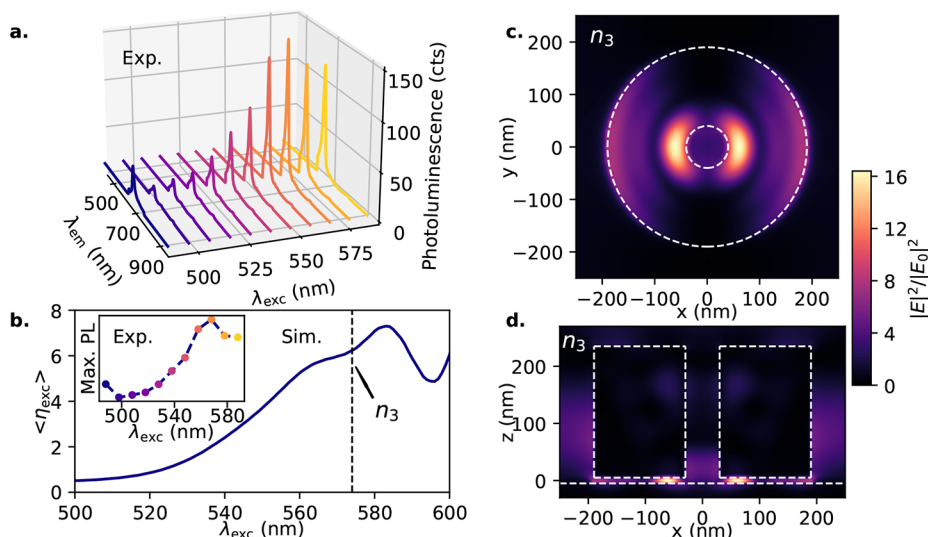
$$\lambda_{mn} = \frac{2\pi}{k_{mn}} \quad \text{with} \quad k_{mn} D_{\text{out}} + \phi = 2J_{mn} \quad (3)$$

where  $k_{mn}$  is the real part of the surface plasmon–polariton wavevector  $k$  (whose multilayer dispersion relation is given in Supporting Information Section S3),  $D_{\text{out}}$  is the diameter of the disk,  $J_{mn}$  is the  $n$ -th zero of the Bessel’s function of the first kind of order  $m$  with  $m$  the azimuthal number, and  $\phi$  is a reflection phase that depends upon the structural and material parameters.<sup>41</sup> These gap mode resonances are thus spectrally tunable by simply varying the diameter of the particle  $D_{\text{out}}$ . Moreover, since these gap modes are strongly confined inside the nanogap, they can be exploited for local enhancement of the pump field intensity, and hence for excitation enhancement of the QDs.

We make use of eq 3 in the case of the nanoring as a first approximation—even if it strictly holds for a plain disk only—to calculate the gap resonance wavelengths of our system. Due to the symmetry of the pump field that we will use in this work (normal incidence and linearly polarized), only the modes with  $m = 1$  can be excited. In Figure 1e, we show the resonance wavelengths  $\lambda_{12}$  and  $\lambda_{13}$  (dashed lines in white color and labeled  $n_2$  and  $n_3$ , respectively—the mode  $n = 1$  labeling the resonance wavelengths  $\lambda_{11}$  being outside of the wavelength range of interest is not shown here), obtained by applying eq 3 with  $\phi = -\pi/2$  and  $\phi = 0$ , respectively (reflection phase values were chosen to closely match these analytically calculated resonance wavelengths with the features appearing in the near-field spectra simulations of Figure 1e; also, since these reflection phases are related to the extension of the plasmon field beyond the particle terminations,<sup>41</sup> it should not be too surprising that different modes pick up different reflection phases). One can see that there are almost no changes when increasing the inner diameter of the ring  $D_{\text{in}}$ , which justifies the use of this equation even in the case of a ring (especially as the inner diameter remains small). In particular, for  $D_{\text{out}} = 380$  nm, we have the following resonance wavelengths:  $\lambda_{12} = 625$  nm and  $\lambda_{13} = 574$  nm. In the following, we use  $n_3$  mode to enhance the excitation of the QDs, because the spectral position of  $n_2$  overlaps with the emission of the QDs (see Supporting Information Figure S1), making it difficult to distinguish the emission from the pump in the PL signal.

## FLUORESCENCE ENHANCEMENT RESULTS

In the following, we present the results obtained for the selected nanoring with  $D_{\text{in}} = 60$  nm (shown by blue arrows in Figure 1e), which we call “Antenna” hereafter. To characterize the total fluorescence enhancement of the QDs in the Antenna situation compared to the Reference one (denoted by the superscript “0” hereafter), we use the well established fact that, in the low excitation regime, the fluorescence enhancement per



**Figure 3.** Excitation enhancement. (a) Experimental spectra of PL counts (cts) in the Antenna case, showing their dependence on the pump excitation wavelength  $\lambda_{exc}$ . The maximum emission peak is obtained for  $\lambda_{exc} = 570$  nm. (b) Simulated average excitation enhancement  $\langle \eta_{exc} \rangle_{th}$  for the Antenna case as a function of the excitation wavelength  $\lambda_{exc}$ , computed according to eq 8. The vertical dashed line denotes the theoretical resonance wavelength of the gap mode at  $\lambda_{exc} = 574$  nm (third order). The inset shows the experimental maxima of the PL spectra extracted from (a) (same color code) as a function of  $\lambda_{exc}$ , for better comparison with the simulation result (the dashed line connecting the points is a guide-to-the-eye). (c,d) Simulated pump field intensity distribution in the horizontal cross-section passing through the middle of the nanogap (c) and in the vertical cross-section passing through the middle of the nanoring (d), at the wavelength where the excitation enhancement is maximum (at 583 nm). In the simulations, the excitation source has its electric field linearly polarized along the  $x$ -direction, and comes at normal incidence (like in the experiment).

emitter (located at position  $\mathbf{r}$ ) is proportional to the gains in excitation rate, collection efficiency, and quantum yield:<sup>1,5,44,45</sup>

$$EF_{th}(\mathbf{r}) = \frac{\gamma_{exc}(\mathbf{r})}{\gamma_{exc}^0} \frac{D_{em}(\mathbf{r})}{D_{em}^0} \frac{QY(\mathbf{r})}{QY^0} \quad (4)$$

The excitation rate  $\gamma_{exc}$  (previously introduced) is directly proportional to the local enhancement of the pump intensity at the position of the emitter  $\mathbf{r}$ , and depends therefore on the wavelength used for the pump laser  $\lambda_{exc}$ . The directivity (or collection efficiency)  $D_{em}$  (defined by eq 9 in the Methods section), which corresponds to the collected signal into a given numerical aperture (NA), thus depends on the collection NA, denoted by  $NA_{col}$ , and also on the wavelength of fluorescent emission  $\lambda_{em}$ . Finally, the emitter quantum yield  $QY$  (previously introduced) also depends on the emission wavelength  $\lambda_{em}$ . Note that all of these quantities are defined for a single electric dipole emitter at a given position  $\mathbf{r}$  and also having a fixed orientation of its dipole moment along the unit vector  $\mathbf{u}$ , but for the sake of readability, we omit the dependence on the parameter  $\mathbf{u}$  in the above quantities. Also, it is interesting to note from eq 4 that the total fluorescence enhancement  $EF_{th}$  depends on the type of emitter used in the Reference situation through its intrinsic quantum yield  $QY^0$ , and is thus not an absolute figure-of-merit to characterize the performance of a given antenna.

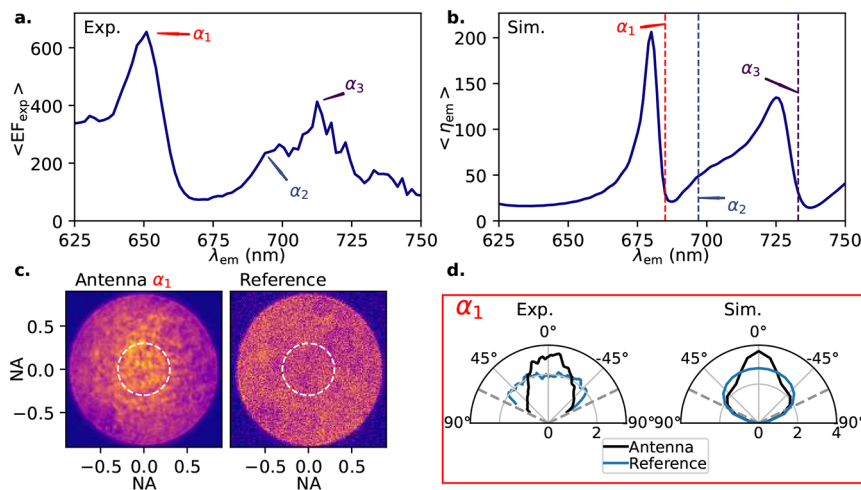
However, in our case where the QDs have a very small intrinsic quantum yield in the Reference situation  $QY^0 \ll 1$ , we have  $\gamma_r^0 \ll \gamma_{nr}^0$  (here  $\gamma_r^0 \sim 10^{-3} \gamma_{nr}^0$ ), and assuming that the decay rate enhancement in the presence of the nanoantenna is such that  $\gamma_r(\mathbf{r}) + \gamma_{abs}(\mathbf{r}) \ll \gamma_{nr}^0$ , we can make the approximation  $\tau(\mathbf{r})/\tau^0 \approx 1$ , where we further introduced the fluorescence lifetimes defined as  $\tau(\mathbf{r}) \equiv 1/[\gamma_r(\mathbf{r}) + \gamma_{nr}^0 + \gamma_{abs}(\mathbf{r})]$  and  $\tau^0 \equiv 1/(\gamma_r^0 + \gamma_{nr}^0)$  in the

Antenna and the Reference situations, respectively. Using the fact that  $QY(\mathbf{r})/QY^0 = [\gamma_r(\mathbf{r})/\gamma_r^0][\tau(\mathbf{r})/\tau^0]$ , we thus have  $QY(\mathbf{r})/QY^0 \approx \gamma_r(\mathbf{r})/\gamma_r^0$ , and eq 4 can be recast into the following form, which is independent of the type of emitter and will be more convenient for our purpose of characterizing the underlying mechanisms at play in our nanoantenna:<sup>44</sup>

$$EF_{th}(\mathbf{r}) \approx \eta_{exc}(\mathbf{r}, \lambda_{exc}) \times \eta_{em}(\mathbf{r}, \lambda_{em}, NA_{col}) \quad (5)$$

In eq 5, we defined the quantities  $\eta_{exc} \equiv \gamma_{exc}/\gamma_{exc}^0$  and  $\eta_{em} \equiv (D_{em}/D_{em}^0)(\gamma_r/\gamma_r^0)$ , which quantify the enhancements in excitation and emission, respectively, and we made the dependence in terms of  $\lambda_{exc}$ ,  $\lambda_{em}$ , and  $NA_{col}$  explicit. Applying eq 5 in the case of our Antenna to each QD and averaging over QDs positions and orientations gives a theoretical fluorescence enhancement factor of  $\langle EF_{th} \rangle = 1263$  (for an excitation source coming at normal incidence and linearly polarized, and for a collection in a single direction, i.e., the upward direction; see the Methods section and Supporting Information Section S4 for more details). The excitation and emission wavelengths used in the simulations, that is,  $\lambda_{exc} = 583$  nm and  $\lambda_{em} = 680$  nm, were obtained by analysis the excitation and emission separately (as explained in the following sections).

**Excitation Enhancement and Gap Resonance.** Experimentally, we first searched for the excitation wavelength  $\lambda_{exc}$  that maximizes the PL. For that, we recorded the PL signal as we varied the pump wavelength  $\lambda_{exc}$  from 488 to 588 nm, while maintaining a constant pump power, by collecting the light radiated into air using an objective lens with  $NA = 0.9$ . Even though the QDs absorb shorter wavelength light more efficiently (as shown in Supporting Information Section S1), optimal pumping conditions for PL enhancement in the Antenna case were found to be at  $\lambda_{exc} = 570$  nm, as shown in



**Figure 4.** Emission enhancement. (a) Experimental average enhancement factor  $\langle \text{EF}_{\text{exp}} \rangle$  spectrum obtained after deconvoluting the Antenna PL from the Reference PL, according to eq 6, and restricting the PL integration over  $\text{NA}_{\text{col}} = 0.3$ . (b) Simulated emission enhancement  $\langle \eta_{\text{em}} \rangle_{\text{th}}$  spectrum for Antenna computed in the upward direction. The vertical dashed lines denote the resonance wavelengths of QNMs  $\alpha_1$ ,  $\alpha_2$ , and  $\alpha_3$  computed in Figure 1e, enabling us to identify the main peaks with these resonances. (c) Experimental BFP images of the emission PL of Antenna (left) and Reference (right) cases taken with a bandpass filter centered around  $\lambda_{\text{em}} = 650$  nm (with a 30 nm bandwidth). The dotted circles represent  $\text{NA} = 0.3$ . The intensities are not normalized. (d) Left: Experimental angular radiation patterns obtained as a linear cut from the BFP images in (c) in the Antenna (dark curve) and Reference cases (light blue curve). The dashed gray lines show the maximum collection angle corresponding to  $\theta = \pm 64.2^\circ$ . Right: Simulated angular radiation patterns in the Antenna (dark curve) and Reference cases (light blue curve), computed at the wavelength corresponding to the maximum peak ( $\alpha_1$ ) in (b). The intensities are normalized such that the integration over all angles gives a value of 2 for the directivity.

Figure 3a. In all subsequent measurements, we therefore fix the pump wavelength at  $\lambda_{\text{exc}} = 570$  nm.

We confirmed with full-wave simulations that there is a maximum for the local field intensity around 570 nm, as shown in Figure 3b (see Methods section for details about the full-wave simulations), which gives an excitation enhancement factor (exactly at 583 nm) of  $\langle \eta_{\text{exc}} \rangle_{\text{th}} = 7.3$ —the bracket denoting position and orientation averaging of the QDs. The spectral position of the maximum is in fair agreement with the third order gap mode resonance labeled  $n_3$  predicted by the theory at 574 nm as discussed before (vertical dashed line in Figure 3b). Moreover, simulations of the field intensity in the horizontal cross-section located in the middle of the gap, and in vertical cross-section passing through the middle of the nanoring, shown in Figures 3c,d, respectively, reveal that intensity “hot spots” are formed within the nanogap at that wavelength. To better appreciate the match with the theory, we show in the Supporting Information Section S3, Figure S5 the simulated electric field component  $E_z$ , which matches the field profile of the gap mode expected in theory with symmetry ( $n = 3$ ,  $m = 1$ ) (shown in Supporting Information Section S3, Figure S4a). This corroborates the fact that it is a gap mode resonance that is responsible for the excitation enhancement. Finally, note that the intensity distribution of this gap mode presents a rather good spatial overlap with the area within which the emitters mostly couple to the antenna mode (exploited for emission enhancement) shown in Figure 2b.

**Emission Enhancement and Antenna Resonance.** We quantify hereafter the emission enhancement in the upward direction, since our nanoantennas radiate mostly in the upward (out-of-plane) direction (see directivity patterns which are given later). For that, we choose to integrate the experimental angle-resolved PL (raw angle-resolved PL spectra can be found in Supporting Information Section 5 Figure S7), obtained

using a back focal plane imaging technique (see Methods section), over a collection  $\text{NA}_{\text{col}} = 0.3$ , which corresponds to  $\pm 17^\circ$ . Note that we make the choice to integrate over this collection NA (instead of considering strictly a single direction, i.e., the upward direction, like in the simulations) in order to average the noise present in the collection channel. Moreover, in order to obtain the experimental total fluorescence enhancement from these PL spectra, we deconvoluted the PL signal of the nanoantenna from the Reference PL, by applying the formula:

$$\langle \text{EF}_{\text{exp}} \rangle = \frac{I}{I_0} \frac{\mathcal{A}_0}{\mathcal{A}} \quad (6)$$

where  $I$  (respectively  $I_0$ ) is the PL intensity collected in the Antenna situation (respectively in the Reference situation) and  $\mathcal{A}$  (respectively  $\mathcal{A}_0$ ) is the area corresponding to the ring horizontal cross-section that reads  $\mathcal{A} = \pi(D_{\text{out}}/2)^2$  with  $D_{\text{out}} = 380$  nm where the QDs are located (respectively the area of the excitation spot  $\mathcal{A}_0 = \pi(D_{\text{spot}}/2)^2$  which is estimated to  $D_{\text{spot}} \simeq 1.37 \mu\text{m}$ ; see Supporting Information Section S6, Figure S8). The obtained experimental total fluorescence enhancement spectrum is plotted in Figure 4a. One can see one main peak around the central emission wavelength of the QDs at 650 nm, at which we get a maximum total fluorescence enhancement factor of  $\langle \text{EF}_{\text{exp}} \rangle = 654$ . One can also see a secondary peak around 720 nm.

We corroborated these experimental results with full-wave simulations of the emission enhancement  $\langle \eta_{\text{em}} \rangle_{\text{th}}$  in the upward direction (using reciprocity, see Methods section), and where the bracket denotes once again position and orientation averaging of the QDs. Its simulated spectrum is shown in Figure 4b. One can see a fair agreement with the experiment,

with also the presence of two main peaks (at 680 and 725 nm in the simulations). The shift of the resonances in the simulations compared to the experiment can be attributed to slight size variations in the height and outer diameter of the fabricated nanoring. Moreover, from the previous mode analysis shown in Figure 1e, we identify these two resonances with the antenna modes  $\alpha_1$  and  $\alpha_3$ , respectively, as highlighted in Figure 4b by vertical dashed lines. One can even see a weaker third resonance in-between the main two peaks, which we identify with the antenna mode  $\alpha_2$  from Figure 1e, as highlighted in Figure 4b. The maximum emission enhancement at the main peak is  $\langle \eta_{\text{em}} \rangle_{\text{th}} = 206.2$ . Note that the previously simulated total averaged enhancement factor  $\langle \text{EF}_{\text{th}} \rangle = 1263$  is not strictly equal to the average excitation enhancement  $\langle \eta_{\text{exc}} \rangle_{\text{th}} = 7.3$  times the average emission enhancement  $\langle \eta_{\text{em}} \rangle_{\text{th}} = 206.2$ , which is totally normal and is due to the averaging process. Indeed,  $\langle \text{EF}_{\text{th}} \rangle \neq \langle \eta_{\text{exc}} \rangle_{\text{th}} \times \langle \eta_{\text{em}} \rangle_{\text{th}}$  in general for spatially inhomogeneous couplings between the QDs and the nanoantenna.

We also show in Figure 4c (left panel) the experimental back focal plane image of the PL intensity recorded at the wavelength 650 nm, corresponding to the emission of the main peak and associated with the QNM  $\alpha_1$  (see Methods section for experimental details). One can see some directivity, compared to the rather isotropic emission from the Reference (right panel). It becomes even clearer when plotting the experimental angular radiation patterns—obtained as a horizontal cut from Figure 4c—in Figure 4d (left panel), where one can clearly see that the Antenna reshapes the emission into a main lobe oriented in the upward direction (dark line), in contrast with the rather isotropic emission in the Reference case (light blue line). The experimental directivity enhancement at that wavelength is calculated to be  $\langle D_{\text{em}}/D_{\text{em}}^0 \rangle_{\text{exp}} = 1.43$  within  $\text{NA}_{\text{col}} = 0.3$  (see eq 9 in Methods section for the formula used).

We also computed the radiation patterns (using reciprocity, see Methods section for more details), shown in Figure 4d (right panel). One can see a good qualitative agreement between experiment and simulation, both showing out-of-plane directivity. These simulation results give a simulated directivity enhancement of  $\langle D_{\text{em}}/D_{\text{em}}^0 \rangle_{\text{th}} = 1.31$  in the upward direction, which matches relatively well the value extracted from the experimental measurements. We thus deduced by applying the relation (nonrigorous)  $\langle \eta_{\text{em}} \rangle_{\text{th}} \approx \langle D_{\text{em}}/D_{\text{em}}^0 \rangle_{\text{th}} \langle \gamma_{\text{r}}/\gamma_{\text{r}}^0 \rangle_{\text{th}}$  that the theoretical average radiative decay rate enhancement (i.e., radiative yield) is  $\langle \gamma_{\text{r}}/\gamma_{\text{r}}^0 \rangle_{\text{th}} = 157.4$ .

## DISCUSSION

The theoretical average enhancement factor  $\langle \text{EF}_{\text{th}} \rangle = 1263$  calculated from eq 5 overestimates the experimental average enhancement factor  $\langle \text{EF}_{\text{exp}} \rangle = 654$ . This can be expected since eq 5 used to estimate the theoretical enhancement factor is valid under the approximation that  $\tau/\tau^0 \approx 1$ . However, based on the experimentally obtained value from the measured lifetimes with a time-resolved PL setup (see Methods section), we obtain, after fitting the experimental data (see Supporting Information Section S7), a lifetime reduction of  $\langle \tau/\tau^0 \rangle_{\text{exp}}^{-1} \approx 2.19$ , that is,  $\langle \tau/\tau^0 \rangle_{\text{exp}} \approx 0.46$ . Therefore, eq 5 is expected to overestimate the experimental enhancement factor

by roughly a factor of 2 (nonrigorous), which appears to be the case. A more quantitative estimation of the theoretical average enhancement factor that takes into account the value of the intrinsic quantum yield  $\text{QY}^0$  (which is not the case of eq 5) provides  $\langle \text{EF}_{\text{th}} \rangle = 790$ , in better agreement with the experimental value (see Supporting Information Section S8). Note that another factor that may contribute to overestimating the experimental enhancement factor is that in the simulations we consider a single direction, i.e., the upward direction, where the emission is maximum, while in the experiment we average over a  $\text{NA}_{\text{col}} = 0.3$  (in order to average out the noise present in the optical setup).

We also analyze in Supporting Information Section S7 the results obtained for two other nanoantennas, called Antenna B and C (the one shown in the main text being called Antenna A in Supporting Information), having approximately identical outer diameters and heights, but different inner diameters, namely  $D_{\text{in}} = 80$  nm and  $D_{\text{in}} = 110$  nm, respectively. This comparative study between Antennas A, B, and C highlights that one can tune (blue-shift) the resonance exploited for emission by varying (increasing)  $D_{\text{in}}$  with respect to the resonance exploited for excitation, as already anticipated from the mode study in Figure 1e. We note that as the inner diameter  $D_{\text{in}}$  increases, the emission enhancement decreases, in agreement with the calculation of the modal Purcell factor which decreases as well (shown in Supporting Information Section S7 Table S2 and which we recall quantifies the coupling of the QDs with the strongly radiative antenna mode). We also found similarly that the theoretical calculations of the total enhancement factors overestimates the one found in experiment, by roughly the factor corresponding to the lifetime reduction (a summary of this comparison can be found in Supporting Information Section S7 Table S3).

Finally, in order to check if our design can accommodate different QDs sizes and types, we simulated the average emission enhancement factor  $\langle \eta_{\text{em}} \rangle_{\text{th}}$  for different nanogap sizes and different refractive index values of the spacer layer. The results (see Supporting Information Section S9) reveal that despite some variations in terms of resonance strength, the spectral position of the resonances are not really affected, an observation that was also reported in ref 22, in contrast with an all-plasmonic nanopatch antenna for which the resonances are more sensitive to the nature of the gap, making this hybrid system quite versatile to accommodate for diverse active materials.

## CONCLUSION

In this work, we experimentally demonstrated an efficient and multiresonance silicon nanoring on gold mirror antenna coupled to localized quantum dots embedded in a nanogap of  $\sim 10$  nm, which transformed very poor emitters (with an intrinsic quantum yield of  $\text{QY}^0 = 3.8 \times 10^{-3}$ ) into a bright and directional light source. A total fluorescence enhancement factor of  $654\times$  was measured, and inferred to different mechanisms contributing to the increase of the brightness of our Antenna. In particular, we calculated in theory average enhancements of  $\langle \gamma_{\text{r}}/\gamma_{\text{r}}^0 \rangle_{\text{th}} = 157.4$ ,  $\langle \gamma_{\text{exc}}/\gamma_{\text{exc}}^0 \rangle_{\text{th}} = 7.3$ , and  $\langle D_{\text{em}}/D_{\text{em}}^0 \rangle_{\text{th}} = 1.31$ , for the radiative yield, excitation, and directionality, respectively, and we experimentally obtained an average lifetime reduction of  $\langle \tau/\tau^0 \rangle_{\text{exp}}^{-1} = 2.19$ . One can infer from our analysis that the radiative emission enhancement is



the main mechanism responsible for the total fluorescence enhancement observed here, with also a non-negligible contribution coming from the excitation enhancement, and modest collection efficiency gain and lifetime reduction.

One particularity of the nanoring antenna is that it supports several resonances with strong scattering properties—the antenna mode resonances to which the emitters can couple efficiently—that contribute to increasing the radiative rates of emitters and reshaping the emission into a main lobe that points in the upward direction. These resonance wavelengths can be easily tuned using the ring *inner diameter* to match with the emission wavelength of the emitters. Furthermore, the metallic mirror creates a nanogap that supports localized modes (gap mode resonances),<sup>21,35</sup> whose resonance wavelength mostly depends on the ring *outer diameter* and therefore can be easily tuned using this parameter, in an almost independent way from the resonances exploited for the emission enhancement. These resonances were used to create intensity “hot spots” in the nanogap, leading to an overall gain in the excitation efficiency of the QDs.

Among the future improvements that should be carried out on these types of nanoantennas, we would like to mention that, first of all, there is a need to pay attention to ensure that the QDs are protected and not degraded by the fabrication process (i.e., not as reported in this work and in ref 22), in order to guarantee the integrity of the quantum emitters. Secondly, by exploiting lower order gap mode resonances, one could increase the excitation enhancement (by a factor 4 if one makes use of the second order according to our simulations—not shown here), to potentially bring the total fluorescence to 3 orders of magnitude enhancement. It was not possible in this work because the second order gap mode could not be well separated spectrally from the emission of the QDs, and therefore we exploited a higher order gap mode (third order), which provided more modest excitation enhancement.

Such hybrid structures are also versatile, as we show that they could accommodate different QD sizes and could easily be enlarged or shrunk to shift the resonances to near-IR or UV wavelengths. Shifting to near-IR wavelengths would, in principle, be easier because of lower losses in the silicon at those wavelengths, while shifting to UV wavelengths might require using a different material with lower losses in the wavelength range for the ring structure.

The experimental demonstration provided in this paper confirms the relevance of nanorings in hybrid dielectric-plasmonic nanostructures as highly tunable nanoantennas with subwavelength size, to create efficient, bright, and directional photon sources in the visible spectral range, which can be of foremost importance for the next-generation of light-emitting devices.

## METHODS

**Fabrication.** To fabricate the nanoantenna structure, we deposited a 100 nm thick film of gold onto a silicon substrate with a 5 nm titanium adhesion layer by electron-beam physical vapor deposition (EBPVD, Denton Explorer) at a rate of 0.1 Å/s. Next, we deposited a first layer of Al<sub>2</sub>O<sub>3</sub> with thickness of ≈3 nm on the gold using atomic layer deposition (ALD, Beneq TFS 200),<sup>46</sup> from trimethylaluminum and H<sub>2</sub>O precursors at 120 °C. After that, a layer of CdSe/ZnS alloyed quantum dots, synthesized according to ref 37, were spin-coated at 2000 rpm for 1 min from a solution of 5 mg QDs per ml in toluene. The quantum dots were then covered by another ≈3 nm thick layer

of alumina, this time using ALD at a temperature of 80 °C. The final Al<sub>2</sub>O<sub>3</sub>/QDs/Al<sub>2</sub>O<sub>3</sub> sandwich structure has a total thickness of approximately 10–15 nm (ellipsometry measurements estimated the thickness, assuming a homogeneous alumina layer, of 13 nm), prior to patterning the Si ring nanoantenna.

For the ring structure, we deposited a 230 nm thick film of amorphous silicon by induction-coupled plasma chemical vapor deposition (ICP-CVD, Oxford PlasmaPro 100) at 80 °C from a SiH<sub>4</sub> precursor. Hydrogen silsesquioxane e-beam resist (Dow Corning XR-1541-06), spin coated at 5000 rpm for 1 min and a charge dissipation layer (Espacer 300AX01), spin coated at 1500 rpm for 1 min were used for the Electron Beam Lithography (EBL) writing (Exlinox ELS-7000), with a dose of ≈300 mC/cm<sup>2</sup>. The sample was then developed by a NaOH/NaCl salty solution (1% wt./4% wt. in deionized water) for 60 s and then rinsed by deionized water to stop the development. The final structures were created by Induction-Coupled Plasma Reactive Ion Etching (ICP-RIE, Oxford Plasmalab 100) using chlorine gas, with a slight over etch to etch any quantum dots not protected by the silicon structures. A fabrication process flow schematic can be found in Supporting Information Section S10, Figure S14.

Since the fabrication process exerts thermal and chemical stress that can potentially degrade the QDs,<sup>47</sup> we optically characterized the QD layer at all steps of the fabrication process to quantify the changes in PL and fluorescence lifetimes (see Supporting Information Section S10, Figure S15). While we observed that the quenching is successfully overcome by the presence of the first layer of Al<sub>2</sub>O<sub>3</sub>, the intensity of the PL is reduced by almost 98% after depositing the second layer of Al<sub>2</sub>O<sub>3</sub>, which is in the configuration Au/Al<sub>2</sub>O<sub>3</sub>/QDs/Al<sub>2</sub>O<sub>3</sub> (keeping the same excitation power). Moreover, the time-resolved PL experiments revealed that this drop in PL is correlated with a reduction of the QDs lifetime from  $\tau^0 = 5.00$  ns to  $\tau = 0.65$  ns. From these observations, we estimated that the intrinsic quantum yield drops from  $QY^0 = 0.29$  to  $QY = 3.8 \times 10^{-3}$ , and concluded that the QDs are degrading because of thermal stress during the ALD process used to deposit the second alumina layer.<sup>47</sup>

**Optical Characterization.** All optical measurements were performed in a microspectrometer setup, based on an inverted microscope (Nikon Ti-U) and a spectrometer system (Andor SR-303i spectrograph with a 150 lines/mm grating coupled to a 400 × 1600 pixel Andor Newton 971 EMCCD). Incident light was focused on the sample by a 100× objective lens with a 0.9 NA (Nikon LU Plan Fluor). Signal collected by the same objective lens was then projected onto the spectrograph entrance slit with a width of 250 μm.

- **Dark-field scattering measurements:** For dark-field scattering, white light from a halogen lamp was used to excite the sample, with the central low- $\vec{k}$  portion of the beam blocked from entering the objective lens, meaning only light scattered by the nanoantennas was collected and sent to the spectrograph. Reflectance of a silver mirror was used as the Reference.
- **Photoluminescence spectroscopy:** For photoluminescence measurements, a supercontinuum source (SuperK Power, NKT Photonics) with band-pass filter (SuperK Varia, NKT Photonics), pulse duration 70 ps, 78 MHz repetition rate was used to excite PL. The band-pass filter was used to scan the pump wavelength from 488 to

588 nm with a 10 nm bandwidth. Average pump power was maintained at  $\approx 250 \mu\text{W}$ . The pump laser was focused onto the sample substrate by the same  $100 \times 0.9$  NA objective lens, resulting in an approximately  $1.37 \mu\text{m}$  diameter laser spot (see Supporting Information Section S6 for details on the method used to estimate the laser spot size). A 610 nm long pass filter was used to cut off any pump laser light in the collection beam path, the 610 nm cutoff can be clearly seen in the photoluminescence curve in Supporting Information Figure S1b.

- **Back-focal-plane imaging:** To capture back-focal-plane images, the same  $100 \times 0.9$  NA objective lens was used to collect light emitted by the nanoantennas, except that, instead of the image plane, the back focal plane of the objective was projected onto a CCD. The maximum collected angle, according to  $NA = n \sin \theta$ , and in our case,  $n = 1$  (air), is about  $\theta = 64.2^\circ$ .
- **Back-focal-plane spectroscopy:** To measure angle-resolved PL spectra, the same  $100 \times 0.9$  NA objective lens was used to collect light emitted by the nanoantennas, except that, instead of the image plane, the back focal plane of the objective was projected onto the spectrograph entrance slit. The maximum collected angle, according to  $NA = n \sin \theta$ , and in our case,  $n = 1$  (air), is about  $\theta = 64.2^\circ$ .
- **Lifetime measurements:** Time-resolved photoluminescence was studied using a Picoquant Microtime 200 TCSPC system coupled to our microspectrometer setup. The same supercontinuum source was used to excite the sample. Spectrally integrated PL in a narrow 5 nm range, centered at 650 nm, was collected using a Si single photon avalanche photodiode. The instrument response function (IRF) was recorded using excitation light scattered from the sample, where the IRF was measured to be 77 ps. PL decay measurements were fit using reconvolution with the measured IRF by a biexponential function.<sup>48</sup>

### Numerical Simulations.

- **Quasi-normal-mode calculations:** The quasi-normal modes (QNMs), denoted  $\mathbf{E}_\alpha(\mathbf{r})$ , can be defined as an eigenvalue problem of the solution of Maxwell equations in the absence of sources:

$$\nabla \times \frac{1}{\mu_0} \nabla \times \mathbf{E}_\alpha(\mathbf{r}) = \omega_\alpha^2 \epsilon(\mathbf{r}, \omega_\alpha) \mathbf{E}_\alpha(\mathbf{r}) \quad (7)$$

where  $\omega_\alpha = \omega'_\alpha + i\omega''_\alpha$  denotes the complex eigenfrequency associated with the eigenmode  $\mathbf{E}_\alpha(\mathbf{r})$ , and supplemented by outgoing boundary conditions (also known as the Sommerfeld radiation condition as  $|\mathbf{r}| \rightarrow \infty$ ). Note that  $\omega''_\alpha < 0$  due to the convention “ $e^{-i\omega t}$ ” used for the time-harmonic fields. Here, the system is considered nonmagnetic with a vacuum permeability  $\mu_0$ , and  $\epsilon(\mathbf{r}, \omega)$  denotes the relative permeability of the medium. The complex eigenwavelengths are defined as  $\lambda_\alpha \equiv 2\pi c/\omega_\alpha$ .

In order to solve eq 7 and obtain the eigenmodes and eigenfrequencies in the configurations shown in Figure 1e, we employed the “QNMEig solver”, developed by IOGS-CNRS,<sup>49</sup> which computes and normalizes the QNMs of plasmonic and photonic resonators, implemented using COMSOL Multiphysics. The QNMEig solver needs all dispersive material permittivities to be

modeled by a  $N$ -pole Lorentz–Drude model, in order to reformulate eq 7 into a linear eigenvalue problem (see, for example, Yan et al.<sup>49</sup>). The parameters of the Lorentz–Drude model that we used for the dispersive permittivities of amorphous silicon (nanoantenna) and gold (substrate) can be found in Supporting Information Figure S16.

- **Near-field simulations:** To compute the near-field shown in Figure 1e, we used the finite-difference time-domain (FDTD) method implemented in Ansys Lumerical FDTD. The Si particle (nanoantenna) in the presence of Au mirror was surrounded by a total-field scattered-field (TFSF) source, which simulates a plane wave excitation. The distance between the TFSF box and nanoantenna was set to be larger than 100 nm. The incident wave was chosen to be linearly polarized and coming at normal incidence from the top of the nanoantenna. The norm of the electric field was recorded in the plane located in the middle of the gap formed between the silicon nanoring and the gold mirror and then spatially averaged. We considered that the gap was filled with a homogeneous medium of refractive index corresponding to the one of alumina, i.e.,  $n = 1.77$ .
- **Excitation enhancement simulations:** To compute the excitation enhancement  $\eta_{\text{exc}}(\mathbf{r}, \lambda_{\text{exc}})$  from eq 5 and shown in Figure 3, we use the fact that the excitation rate is proportional to the local intensity of the electric field, and therefore  $\eta_{\text{exc}}(\mathbf{r}, \lambda_{\text{exc}})$  can be readily expressed as<sup>50</sup>

$$\eta_{\text{exc}}(\mathbf{r}, \lambda_{\text{exc}}) = \left| \frac{\mathbf{u} \cdot \mathbf{E}(\mathbf{r}, \lambda_{\text{exc}})}{\mathbf{u} \cdot \mathbf{E}_0(\mathbf{r}, \lambda_{\text{exc}})} \right|^2 \quad (8)$$

where we recall that  $\mathbf{u}$  is a unit vector showing the orientation of the emitter dipole moment,  $\mathbf{r}$  is the position of the emitter, and  $\mathbf{E}(\mathbf{r})$  (respectively  $\mathbf{E}_0(\mathbf{r})$ ) is the electric field at the emitter position  $\mathbf{r}$  for a given excitation source in the nanoantenna case (respectively in the Reference case). We computed, using Ansys Lumerical FDTD, and considering as incident illumination a linearly polarized planewave coming at normal incidence from the top of the nanoantenna, the electric field intensity in the horizontal plane (i.e., parallel to the substrate) and located in the middle of the nanogap over an area corresponding to the ring horizontal cross-section. The averaged excitation enhancement  $\langle \eta_{\text{exc}} \rangle$  was obtained after averaging over all positions and electric field components, and normalizing by the case without Si nanoantenna.

- **Emission enhancement simulations:** The computation of the emission enhancement  $\eta_{\text{em}}(\mathbf{r}, \lambda_{\text{em}})$  from eq 5 and shown in Figure 4 were carried out using the reciprocity principle,<sup>51</sup> following the method well described in ref 52. This method was implemented in Ansys Lumerical FDTD, where planewave sources were used with two orthogonal linear polarizations and coming at normal incidence to excite the nanoantenna, using the TFSF source tool. Then, the near-field response was recorded in a plane located in the middle of the gap by point monitors, distributed in an area with the same size as the nanoantenna cross-section, and with a density of  $3600 \mu\text{m}^{-2}$  (i.e., we use approximately 400 point monitors homogeneously distributed below the ring within an area

of  $\pi R^2$  with  $R = D_{\text{out}}/2 = 190$  nm). By reciprocity, the power recorded in each point monitor and calculated from the projection of the electric field along axis  $i$  ( $i = x, y,$  or  $z$ ) is equal to the power emitted from a point electric dipole source with the same polarization and oriented along  $i$  and located at the position of the monitor. To obtain the averaged emission enhancement  $\langle \eta_{\text{em}} \rangle$ , the power over all orientations  $i$  and over the spatial distribution of monitors was integrated, averaged over two orthogonal linear polarizations, and normalized to the case without nanoantenna.

- **Directional enhancement simulations:** To obtain the directivity patterns shown in Figure 4d, we use the same reciprocal simulations as for the emission enhancement simulations, and made a sweep over all angles of incidence. In Figure 4d, we show the emission angular power distribution (averaged over two orthogonal linear polarizations).

In order to quantify the percentage of light that can be collected in the upward direction with given  $\text{NA}_{\text{col}}$ , we use the relation (see, e.g., ref 53):

$$D_{\text{em}} = \frac{\int_0^{2\pi} \int_0^{\theta_{\text{col}}} p(\theta, \phi) \sin(\theta) \, d\theta \, d\phi}{\int_0^{2\pi} \int_0^{\pi} p(\theta, \phi) \sin(\theta) \, d\theta \, d\phi} \quad (9)$$

with  $p(\theta, \phi)$  being the angular power radiated into a certain solid angle (parametrized by  $\theta$  and  $\phi$ ), and  $\theta_{\text{col}}$  is defined as  $\theta_{\text{col}} = \sin^{-1}(\text{NA}_{\text{col}})$ . We consider only a single direction for the simulated radiation patterns, which is the upward direction, to be consistent with the emission enhancement spectrum shown in Figure 4b; that is, we set  $\theta_{\text{col}} = 0$  in eq 9 (corresponding strictly speaking to  $\text{NA}_{\text{col}} = 0.0$ ). For the calculations of the experimental directivity, we choose to perform the integration over  $\text{NA}_{\text{col}} = 0.3$  in order to average the noise present in the collection channel, as explained in the main text.

## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsp Photonics.2c01332>.

Quantum dot emission/absorption spectra and fluorescence model; Resonance dependence on disk height; Gap mode resonance; Simulations of total fluorescence enhancement; Angle-resolved PL spectra; Laser spot size estimation; Comparison between antennas A, B and C; Enhancement factor dependence on intrinsic quantum yield; Emission enhancement dependence on gap size; Nanoantenna fabrication process flow; Optical constants of amorphous silicon and gold. (PDF)

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### Author Contributions

P.D., E.L., R.P.-D., H.V.D., and A.I.K. developed the concept. R.P.-D., J.K.W.Y., H.V.D., and A.I.K. supervised and coordinated the work. P.D. fabricated all the nanostructures and performed the SEM and all the optical measurements of the fabricated structures. E.L. designed the nanoantenna and developed the code based on the reciprocity principle used for the emission calculations and performed the numerical simulations of the near-field color maps, radiative emission spectra, and emission angular patterns. E.L. also performed the quasi-normal-mode analysis. P.D. also performed the calculations of the field enhancement in excitation configuration as well as some emission spectra and emission pattern calculations. L.D. provided support and supervised some of the optical measurements. Z.P. developed part of the fabrication process and supervised some of the fabrication. D.C.J.N. synthesized the QDs and helped to spin coat them onto the samples. V.V. helped with SEM measurements. P.D. and E.L. wrote the manuscript, and all coauthors participated in results interpretation and read and reviewed the manuscript.

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## Notes

The authors declare no competing financial interest.

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